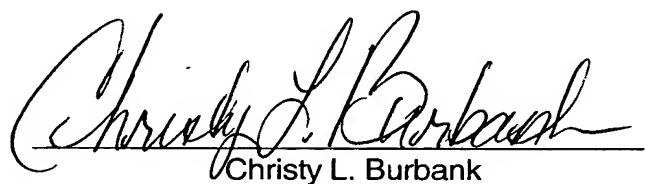




**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the attached **TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 C.F.R 3.73(b) (2 pages), POWER OF ATTORNEY (1 page) AND STATEMENT INCLUDING COPY OF ASSIGNMENT (4 pages)** is being deposited with the United States Postal service for delivery in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of August, 2004.



Christy L. Burbank



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra E. Ring et al.  
Appl. No.: 10/789,460  
Filed: February 26, 2004  
Docket No.: 2037  
Conf. No. 5170  
Title: **METHODOLOGY, SYSTEM, COMPUTER READABLE MEDIUM, AND PRODUCT PROVIDING A SECURITY SOFTWARE SUITE FOR HANDLING OPERATING SYSTEM EXPLOITATIONS**  
Art Unit: 2184  
Examiner:  
Action: **TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 C.F.R. 3.73(b)**  
Date: August 18, 2004  
TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed for filing a power of attorney executed by the Assignee, Sytex, Inc., and a statement under 37 C.F.R. 3.73(b), including a copy of the assignment in reference to the above-identified patent application.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

**TIMOTHY J. MARTIN, P.C.**



---

Timothy J. Martin, #28,640  
Michael R. Henson, #39,222  
Rebecca A. Gegick, #51,724  
9250 W. 5th Avenue, Suite 200  
Lakewood, Colorado 80226  
(303) 232-3388



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/789,460
Filing Date	02/26/2004
First Named Inventor	Sandra E. Ring et al.
Title	
Art Unit	
Examiner Name	
Attorney Docket Number	2037

I hereby appoint:

Practitioners associated with the Customer Number: 24264

OR

Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

The address associated with the above-mentioned Customer Number:

OR

The address associated with Customer Number:  

OR

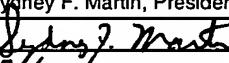
<input type="checkbox"/>	Firm or Individual Name			
Address				
Address				
City		State		Zip
Country				
Telephone		Fax		

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Name	Sydney F. Martin, President - Sytex, Inc.		
Signature			
Date	7/29/04	Telephone	215-389-8744

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sandra E. Ring and Eric B. Cole/Sytex, Inc.

Application No./Patent No.: 10/789,460 Filed/Issue Date: February 26, 2004

Entitled: Methodology, System, Computer Readable Medium, and Product Providing a Security Software Suite for Handling Operating System Exploitation

Sytex, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or

2.  an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is        %  
in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel       , Frame        or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel       , Frame       , or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel       , Frame       , or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel       , Frame       , or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7-29-04

Date

Telephone number

Sydney F. Martin

Typed or printed name

Sydney F. Martin

Signature

President - Sytex, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **ASSIGNMENT**

**WHEREAS**, Sandra E. Ring (hereinafter referred to as "Ring") of 9 Forrest Street, Alexandria, Virginia 22305 and Eric B. Cole (hereinafter referred to as "Cole") of 43535 Butler Place, Leesburg, Virginia 20176 have invented and own a certain new and useful **METHODOLOGY, SYSTEM, COMPUTER READABLE MEDIUM, AND PRODUCT PROVIDING A SECURITY SOFTWARE SUITE FOR HANDLING OPERATING SYSTEM EXPLOITATION** for which application for Letters Patent of the United States was filed in the United States Patent Office, said application being identified as Docket Number 2037 in the files of the law offices of Timothy J. Martin, P.C.;

**WHEREAS**, Sytex, Inc. (hereinafter referred to as "Sytex"), a corporation organized and existing under the laws of the State of Pennsylvania, and having a place of business located at 22 Bailiwick Office Campus, Doylestown, Pennsylvania 18901, is desirous of acquiring the entire right, title and interest therein and thereto;

**NOW, THEREFORE**, be it known that for and in consideration of the sum of Ten Dollars (\$10.00) and certain other good and valuable consideration to Ring and Cole in hand paid, the receipt of which is hereby acknowledged, the said Ring and Cole by these presents do sell, assign and transfer unto the said Sytex, its successors, legal representatives and assigns, their entire right, title and interest in and to the said invention, the aforesaid application and any and all applications derived therefrom (including but not limited to continuations, divisionals, continuations-in-part, continued prosecution applications (CPAs), and requests for continued examination applications (RCEs)), for the territory of the United States of America and for all foreign countries and to all Letters Patents and reissues thereof and extensions to be obtained therefor. Ring and Cole further agree to cooperate with Sytex in the obtaining and sustaining of any and all such Letters Patents, but at the expense of Sytex. Sytex hereby acknowledges and accepts the sale, assignment and transfer of the same.

Ring and Cole further hereby assign and agree to assign to Sytex the entire right, title and interest, domestic and foreign, which Ring and Cole may have in any discoveries, improvements and inventions made, conceived or developed by Ring and Cole in connection with the development of said invention and does further agree to execute all applications for patent, assignments and other appropriate documents and to perform all things necessary to make this Assignment effective and to perfect all right, title and interest in and to said discoveries, improvements or inventions in said Sytex. Sytex hereby acknowledges and accepts the assignment and agreement to assign the same.

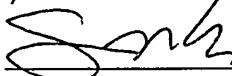
Ring and Cole hereby authorizes and requests the law offices of Timothy J. Martin, P.C., to insert here in parentheses (Application number 10/789,460, filed February 26, 2004) the filing date and application number of said application when known.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment to Sytex, its successors, legal representatives and assigns of the entire right, title and interest therein.

**IN WITNESS WHEREOF**, the parties hereto have set their hands and affixed their seals on the respective date(s) set forth hereinafter.

Date: 7-21-04

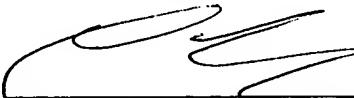
By:



Sandra E. Ring

Date: 7-21-04

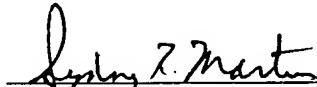
By:



Eric B. Cole

Date: 7-29-04

By:



Sydney F. Martin, President  
Sytex, Inc.

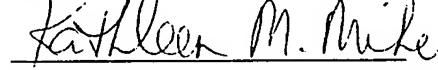
STATE OF Virginia )

ss.

COUNTY OF Fairfax )

Before me, a Notary Public in and for the said County and State, personally appeared Sandra E. Ring, who is known to me to be the person whose name is subscribed to the foregoing instrument, and who acknowledged to me that she executed the same for the purposes and considerations therein expressed this 31 day of July, 2004.

(SEAL)



Notary Public:

13800 Coppermine Rd

Address

Herndon VA 20171

City, State and Zip/Code

My Commission Expires: 11/30/2005

STATE OF Virginia )  
COUNTY OF Fairfax ) ss.

Before me, a Notary Public in and for the said County and State, personally appeared Eric B. Cole, who is known to me to be the person whose name is subscribed to the foregoing instrument, and who acknowledged to me that he executed the same for the purposes and considerations therein expressed this 21 day of July, 2004.

(SEAL)

Kathleen M. Mile  
Notary Public  
13800 Coppermine Rd  
Address  
Henderson VA 20171  
City, State and Zip Code

My Commission Expires: 1/130/2005

STATE OF Pennsylvania )  
COUNTY OF Bucks ) ss.

Before me, a Notary Public in and for the said County and State, personally appeared Sydney F. Martin, President – Sytex, Inc. who is known to me to be the person whose name is subscribed to the foregoing instrument, and who acknowledged to me that he executed the same for the purposes and considerations therein expressed this 29 day of July, 2004.

(SEAL)

Antoinette T. Hanusey  
Notary Public  
22 Baldwin School Campus  
Doylestown PA 18901  
City, State and Zip Code

My Commission Expires: 4/30/05

NOTARIAL SEAL  
ANTOINETTE T. HANUSEY, Notary Public  
Doylestown Twp., Bucks County  
My Commission Expires April 30, 2005